

# Estimation of ground and excited state dipole moments of newly synthesized coumarin (4-MPMHC) derivative

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**Abstract :** Absorption and fluorescence spectra of newly synthesized coumarin derivative namely 4-((4-methoxyphenoxy) methyl)-7-hydroxy-2H-chromen-2-one (4-MPMHC) have been recorded at room temperature (300 K) in solvents of different polarities. The effects of solvents on the spectral properties are discussed. Using Lippert's, Bakshiev's and Kawski-Chamma-Viallet's equations the ground ( $\mu_g$ ) and excited state ( $\mu_e$ ) dipole moments were estimated. Variation of Stokes shift with the solvent dielectric constant along with refractive index was made use of to estimate dipole moments. Density Functional Theory (DFT) was studied using Gaussian software 09. It was observed that excited state dipole moment was higher than the ground state dipole moment. Further we have analysed microscopic solvent polarity parameter.

**Key words:** Dipole moment, Coumarin, Stokes shift, Microscopic solvent polarity parameter

## 1. INTRODUCTION

Coumarin is the most important naturally occurring oxygen containing heterocyclic compound. From few decades coumarin and its derivatives are well recognized for their biological traits such as anticoagulant, antibacterial, anti-microbial, analgesic anti-pyretic, anti-neoplasm, anti-metastatic and fungicidal activity [1-5]. Coumarin compounds are also used as food preservatives, additives and cologne in cosmetics [6-9]. Therefore, determination of the coumarin content in different pharmaceutical and commercial products has become an interesting field of research [10-12]. Coumarin molecules are very good media for efficient optical brighteners, laser molecules and broad-band dye lasers in blue-green domain of radiation. These compounds are used as rodenticides, fluorescent indicators [13-14]. Using different methods many researchers worked on the ground and excited state dipole moments by experimentally and theoretically for coumarins, fluorescent molecules, organic dyes and laser dyes [15-21]. However, there are no reports available in the literature on the estimation of ground and excited state dipole moment values of the coumarin derivative 4-((4-methoxyphenoxy) methyl)-7-hydroxy-2H-chromen-2-one (4-MPMHC). This prompted us to carry out the present work to estimate and compare the  $\mu_g$  and  $\mu_e$  of 4-MPMHC.

## 2. MATERIALS AND METHODS

The coumarin derivative 4-MPMHC was synthesized as per the procedure mentioned in the references [22, 23]. The molecular structure of 4-MPMHC is as shown in Fig.1. Sample solutions were prepared by adding required amount of solute into different solvents. The solvents used were, 1, 4-dioxane, Benzene, Ethyl acetate, Acetone, Dimethyl sulfoxide (DMSO), Acetonitrile, 1-Butanol, Ethanol, Methanol and N, N-dimethylformamide (DMF) all were of spectroscopic grade. The solvents were used without further purification. The required solutions were prepared at a fixed solute concentration (10  $\mu$  M/L). The concentration of solute was kept sufficiently low in order to minimize the effect of self absorption. Absorption spectra were recorded on a PG Inst. Ltd., model T-90+, UV-Vis. absorption spectrophotometer and fluorescence spectra on a Fluorolog-3 spectrofluorometer (Horiba-Jobin-Yvon). Linear fit was done by using Origin pro 8 software.

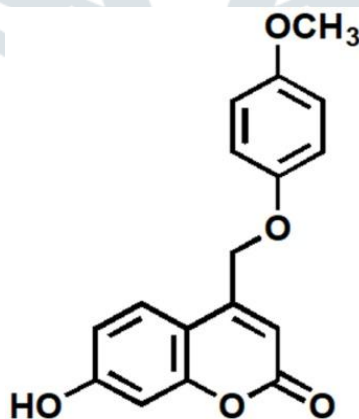


Fig. 1. Molecular structure of 4-MPMHC

## 3. EXPERIMENTAL ESTIMATION OF GROUND AND EXCITED STATE DIPOLE MOMENTS

The independent equations used for the estimation of ground state and excited state dipole moments of the 4-MPMHC molecule are as follows,

Lippert's equation [24]

$$\bar{\nu}_a - \bar{\nu}_f = m_1 F_1(\epsilon, n) + \text{Constant} \quad (1)$$

Bakshiev's equation [25]

$$\bar{\nu}_a - \bar{\nu}_f = m_2 F_2(\epsilon, n) + \text{Constant} \quad (2)$$

Kawski-Chamma-Viallet's equation [26, 27]

$$\frac{\bar{\nu}_a + \bar{\nu}_f}{2} = m_3 F_3(\varepsilon, n) + \text{Constant} \quad (3)$$

where  $\bar{\nu}_a$  and  $\bar{\nu}_f$  are absorption and fluorescence maxima wavelength in  $\text{cm}^{-1}$ , respectively. The other symbols ' $\varepsilon$ ' and ' $n$ ' are dielectric constant and refractive index respectively.

The expressions for  $F_1(\varepsilon, n)$  [Lippert's polarity function],  $F_2(\varepsilon, n)$  [Bakhshiev's polarity function] and  $F_3(\varepsilon, n)$  [Kawski-Chamma-Viallet's polarity function] are given as

$$F_1(\varepsilon, n) = \left[ \frac{\varepsilon - 1}{2\varepsilon + 1} - \frac{n^2 - 1}{2n^2 + 1} \right] \quad (4)$$

$$F_2(\varepsilon, n) = \left[ \frac{\varepsilon - 1}{\varepsilon + 2} - \frac{n^2 - 1}{n^2 + 2} \right] \frac{(2n^2 + 1)}{(n^2 + 2)} \quad (5)$$

$$F_3(\varepsilon, n) = \frac{2n^2 + 1}{2(n^2 + 2)} \left[ \frac{\varepsilon - 1}{\varepsilon + 2} - \frac{n^2 - 1}{n^2 + 2} \right] + \frac{3(n^4 - 1)}{2(n^2 + 2)^2} \quad (6)$$

From equations (4), (5), (7) and (8) it follows that  $(\bar{\nu}_a - \bar{\nu}_f)$  versus  $F_1(\varepsilon, n)$  and  $F_2(\varepsilon, n)$ , also from equations (6) and (9) it follows that  $(\bar{\nu}_a + \bar{\nu}_f)/2$  versus  $F_3(\varepsilon, n)$  should give linear graphs with slopes  $m_1$ ,  $m_2$  and  $m_3$  respectively and are given below,

$$m_1 = \frac{2(\mu_e - \mu_g)^2}{hca^3} \quad (7)$$

$$m_2 = \frac{2(\mu_e - \mu_g)^2}{hca^3} \quad (8)$$

$$m_3 = \frac{2(\mu_e^2 - \mu_g^2)}{hca^3} \quad (9)$$

Where  $\mu_g$  and  $\mu_e$  are the ground and excited state dipole moments of the coumarin molecule, respectively. The symbols ' $h$ ' and ' $c$ ' are Planck constant and velocity of light in vacuum, respectively and ' $a$ ' is the Onsager's cavity radius of the coumarin molecule. The value of ' $a$ ' is evaluated using atomic increment method by Edward [28]. In general case, the dipole moments  $\mu_g$  and  $\mu_e$  are not parallel but they form certain angle [29]. By assuming the ground state and excited state dipole moments are parallel, the following equations are obtained on the basis of equations (8) and (9).

$$\mu_g = \frac{m_3 - m_2}{2} \left[ \frac{hca^3}{2m_2} \right]^{\frac{1}{2}} \text{ For } (m_3 > m_2) \quad (10)$$

$$\mu_e = \frac{m_3 + m_2}{2} \left[ \frac{hca^3}{2m_2} \right]^{\frac{1}{2}} \quad (11)$$

### 3.1. CHANGE IN DIPOLE MOMENT USING MOLECULAR MICROSCOPIC SOLVENT POLARITY PARAMETER

Understanding the polarization dependence or hydrogen bonding effect on spectral characteristics, it may be worthwhile to use molecular microscopic solvent polarity  $E_T^N$  function proposed by Reichardt [30]. The theoretical basis for the correlation of the Stokes shift with  $E_T^N$  was proposed by Reichardt and developed by Ravi et al. [31]. Accordingly equation (13) is obtained and  $E_T^N$  is given as follows:

$$E_T^N = \frac{E_T(\text{solvent}) - 30.7}{32.4} \quad (12)$$

And  $E_T(\text{solvent}) = 28,591/\lambda_{\text{max}}$  corresponds to the peak wavelength in the red region of the intramolecular charge transfer absorption of the pyridinium-N-phenolatebetaine dye. Using this method, change in dipole moment is calculated from the plots of Stokes shift versus  $E_T^N$ . According to the following equation:

$$\bar{\nu}_a - \bar{\nu}_f = 11307.6 \left( \frac{\Delta\mu^2 a_D^3}{\Delta\mu_D^2 a^3} \right) E_T^N + \text{Constant} \quad (13)$$

Where  $\Delta\mu = (\mu_e - \mu_g)$  and ' $a$ ' are the change in dipole moment and Onsager cavity radius of the coumarin molecule of interest respectively. In the expression, the Onsager radii of the both Betaine dye ( $a_D$ ) and coumarin derivative ( $a$ ) enter as a ratio, as the values of  $a_D$  and  $\Delta\mu_D$  are known (6.2 Å and 9 D, respectively [30]).

## 4. RESULTS AND DISCUSSION

### 4.1. EFFECT OF SOLVENTS ON ABSORPTION AND FLUORESCENCE EMISSION SPECTRA

Absorption spectra and emission spectra of 4-MPMHC molecule in different solvents are shown in **Fig. 2** and **Fig. 3** respectively. It is observed that the absorption wavelength found nearly 320 nm and emission wavelength is nearly in the range 390-410 nm. Absorption maxima, emission maxima, Stokes shift and arithmetic mean Stokes shift wave numbers in  $\text{cm}^{-1}$  of 4-MPMHC are given in table 1. The band shift occurred in the emission spectra and there is increase in the Stokes shift values with increasing solvent polarity which indicates that there is an increase in the dipole moment on excitation. Polarity functions values like Lippert's [ $F_1(\varepsilon, n)$ ], Bakhshiev's [ $F_2(\varepsilon, n)$ ], Kawski-Chamma-Viallet's [ $F_3(\varepsilon, n)$ ] and  $E_T^N$  parameters are tabulated in table 2.

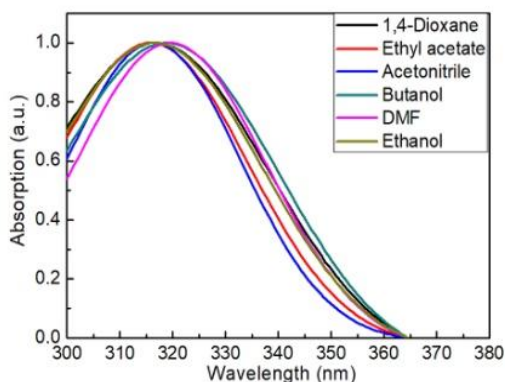


Fig.2. Absorption spectra of 4-MPMHC

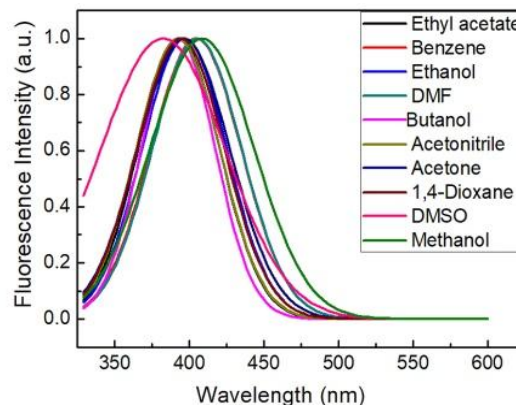


Fig.3. Fluorescence emission spectra of 4-MPMHC

**Table 1.** Absorption maxima, fluorescent maxima wave numbers in  $\text{cm}^{-1}$ , Stokes shift and arithmetic Stokes shift data

Solvents	$\bar{\nu}_a$	$\bar{\nu}_f$	$(\bar{\nu}_a - \bar{\nu}_f)$	$\frac{\bar{\nu}_a + \bar{\nu}_f}{2}$
1,4-Dioxane	31250	25839	5410	28544
Benzene	29411	23980	5430	26696
Ethyl Acetate	31347	25839	5508	28593
1-Butanol	30959	25641	5318	28300
Acetone	30959	25575	5384	28267
Ethanol	30959	25575	5384	28267
DMF	30959	25062	5897	28011
Acetonitrile	30959	25706	5252	28333
Methanol	31949	23866	8083	27908
DMSO	31646	25317	6329	28481

**Table 2.** Polarity functions and microscopic solvent polarity parameter for respective solvents

Solvents	$F_1(\epsilon, n)$	$F_2(\epsilon, n)$	$F_3(\epsilon, n)$	$E_T^N$
1,4-Dioxane	0.005	0.010	0.311	0.164
Benzene	0.024	0.050	0.343	0.111
Ethyl Acetate	0.199	0.489	0.497	0.228
1-Butanol	0.265	0.754	0.640	0.596
Acetone	0.274	0.790	0.639	0.355
Ethanol	0.284	0.812	0.652	0.654
DMF	0.289	0.835	0.709	0.386
Acetonitrile	0.305	0.863	0.665	0.460
Methanol	0.309	0.855	0.651	0.762
DMSO	0.263	0.841	0.744	0.444

**4.2. ESTIMATION OF GROUND AND EXCITED STATE DIPOLE MOMENTS**

The graphs obtained for Lippert’s polarity function versus Stokes shift (Fig. 4), Bakhshiev’s polarity function versus Stokes shifts and Kawski-Chamma-Viallet’s polarity functions versus arithmetic Stokes shifts correlation shown in Fig. 5, and are found to be linear. From these graphs we obtain slopes to estimate the ground and excited state dipole moments using the relevant formulae. We also analysed the change in dipole moment using microscopic solvent polarity parameter ( $E_T^N$ ). The variation of Stokes shift with microscopic solvent polarity parameter is shown in Fig. 6. Onsager cavity radius, statistical correlation values, dipole moments in ground and excited states and change in dipole moments of 4-MPMHC are given in table 3.

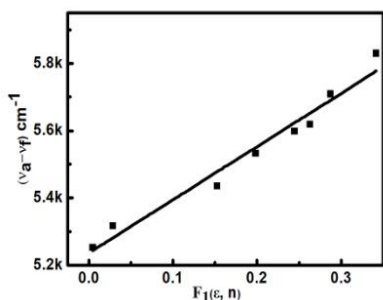


Fig. 4. Variation of Stokes shift with Lippert’s polarity function for 4-MPMHC

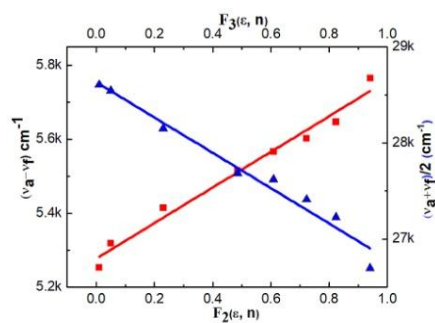


Fig. 5. Bakhshiev’s (■) and Kawski-Chamma-Viallet’s (▲) correlation for 4-MPMHC

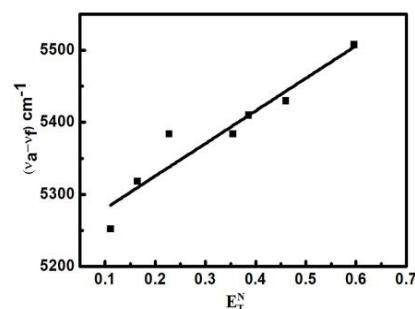


Fig.6. Variation of Stokes shift with  $E_T^N$  parameters

**Table 3.**Radius, statistical correlation values and dipole moments of 4-MPMHC in the ground and excited states (in Debye)

Coumarin	Radius a (Å)	Statistical correlation			Dipole moments						
		Slope (cm <sup>-1</sup> )	r	n	$\mu_g^a$ (D)	$\mu_e^b$ (D)	$\mu_e^c$ (D)	$\mu_e^d$ (D)	$\mu_e^e$ (D)	$\Delta\mu^f$ (D)	$\Delta\mu^g$ (D)
4-MPMHC	3.89	m <sub>1</sub> =1581	0.96	8	7.42	9.1	10.5	9.1	10.5	1.68	0.9
		m <sub>2</sub> =482	0.98	8							
		m <sub>3</sub> =-4742	0.98	8							
		m =452	0.90	8							

(1 Debye = 3.34 X 10<sup>-30</sup> cm = 10<sup>-18</sup> esu cm.)

r- Correlation coefficient.

n- Number of data points.

<sup>a</sup> Ground state dipole moment calculated using equation (10)

<sup>b</sup> Excited state dipole moment calculated using equation (11)

<sup>c</sup> Excited state dipole moment calculated from Lippert's equation (7)

<sup>d</sup> Excited state dipole moment calculated from Bakshiev's equation (8)

<sup>e</sup> Excited state dipole moment calculated from Kawski-Chamma-Viallet's equation (9)

<sup>f</sup> Change in dipole moment calculated using equations (10) and (11).

<sup>g</sup> Change in dipole moment calculated using  $E_T^N$  equation (12).

### 4.3. THEORETICAL STUDIES USING GAUSSIAN 09 SOFTWARE

From the theoretical calculations using Gaussian-09 program for the ground state optimized geometries, the estimated ground state dipole moment of the 4-MPMHC molecule was found to be 4.33 D and is tabulated in table 4. The optimized molecular geometry of the corresponding molecule is shown in Fig. 7, the arrow mark indicates the direction of dipole moment in Fig. 8. The HOMO, LUMO and electro static potential map of the molecule 4-MPMHC are as shown in Fig.9, Fig.10 and Fig.11 respectively. From these figures, it is apparent that the HOMO of 4-MPMHC molecule mainly localized on the electron donating aliphatic chain, whereas the LUMO level shifted to peripheral electron accepting moiety results to an obvious spatial separation of frontier orbital's. The polarity of a molecule depends on its electron distribution over the molecule. The absorption of a suitable electromagnetic radiation will cause transition of an electron from HOMO to LUMO orbital. Consequently, the movement of an electron from the low energy orbital to the higher energy orbital results in a change of dipole moment with respect to the ground state dipole moment [32].

The degree of charge separation and hence the dipole moment direction can be visualized by the electrostatic potential map as depicted in Fig.11 in which blue colour indicates positive phase nucleophilic site and red colour indicates negative phase electrophilic site. Other colours indicate intermediate levels of attraction.

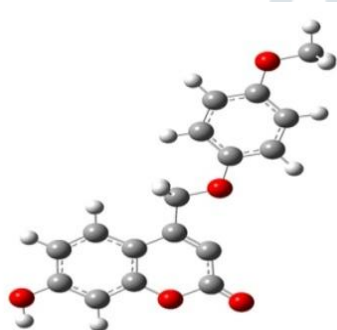


Fig.7. Optimized geometry of 4-MPMHC

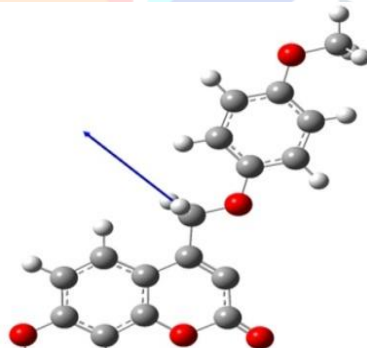


Fig.8. Dipole moment vector

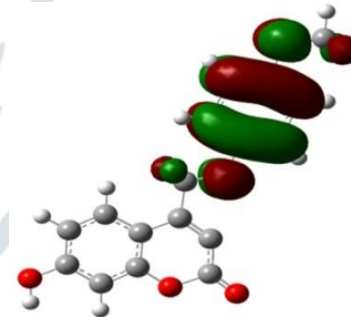


Fig.9. HOMO structure

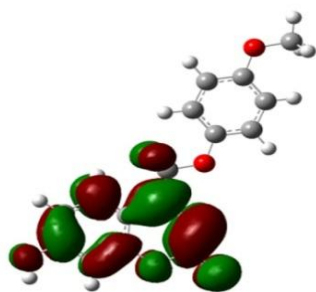


Fig.10. LUMO structure

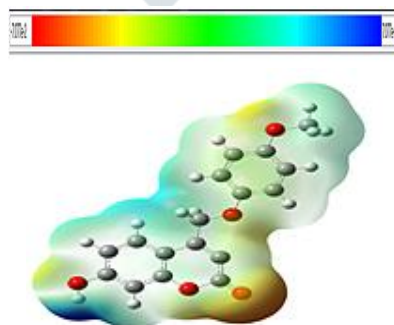


Fig. 11. Electrostatic potential map

**Table 4.** Photo physical parameters obtained from DFT studies of 4-MPMHC

Coumarin	HOMO (eV)	LUMO (eV)	$\Delta E$ (eV)	$\mu_g$ (D)
4-MPMHC	-5.681	-2.057	3.624	4.332

## 5. CONCLUSION

We studied the effect of solvents on photophysical parameters for 4-MPMHC molecule by experimentally and theoretically. We observed that 4-MPMHC molecule undergo positive solvatochromism with increase in solvent polarity, indicates that the involvement of  $\pi \rightarrow \pi^*$  transition. The dipole moment of 4-MPMHC molecule is more in the first excited singlet state than in the ground state. This indicates the existence of a more relaxed excited state, due to intramolecular charge transfer. We compared the experimental values of dipole moments with theoretical values. Experimentally the solvent effect is more on 4-MPMHC molecule rather than the theoretical value. From microscopic solvent polarity function we estimated the change in dipole moment and are compared. This is the first report on extensive study of photophysical characteristics of biologically active 4-MPMHC molecule which helps to understand the usage of this molecule in various fluorescence applications as well as in the field of medicine.

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