



## SAINT: IMPROVED NEURAL NETWORKS FOR TABULAR DATA VIA ROW ATTENTION AND CONTRASTIVE PRE-TRAINING

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### Abstract

This research paper presents SAINT (Self-Attention Integrated Neural Networks with Contrastive Pre-training), an innovative approach to improve neural networks' performance on tabular data. SAINT incorporates row attention and contrastive pre-training techniques. Row attention selectively focuses on informative rows, capturing the hierarchical structure in tabular data. Contrastive pre-training utilizes large-scale unlabeled tabular datasets to enhance representation learning. Experimental results on benchmark datasets demonstrate SAINT's superiority over traditional neural network architectures and state-of-the-art tabular data models. SAINT's row attention mechanism captures complex feature relationships, leading to enhanced predictive accuracy and robustness across diverse tasks and datasets. The model contributes to advancing neural network architectures for tabular data analysis. SAINT's incorporation of row attention and contrastive pre-training offers a valuable tool for researchers and practitioners in harnessing the power of neural networks for tabular data tasks. The insights gained pave the way for further advancements and more accurate predictive models in real-world applications.

*Index Terms*—Tabular data, neural networks, SAINT, row attention, contrastive pre-training, predictive modeling, hierarchical structure.

### INTRODUCTION

Tabular data analysis presents unique challenges in capturing complex dependencies and hierarchical structures. This paper introduces SAINT (Self-Attention Integrated Neural Networks with Contrastive Pre-training), an approach aimed at enhancing neural network performance on tabular data. SAINT leverages row attention and contrastive pre-training to selectively attend to informative rows and improve representation learning. Experimental evaluations demonstrate SAINT's superiority over traditional neural network architectures and state-of-the-art tabular data models, showcasing enhanced predictive accuracy and robustness. This research contributes to advancing neural network architectures for tabular data analysis, offering a valuable tool for researchers and practitioners seeking to harness the power of neural networks in real-world tabular data tasks.

## III. METHODOLOGY AND ARCHITECTURE

## II. RELATED WORK

## A. Traditional Machine Learning Approaches for Tabular Data

Traditional machine learning techniques, such as decision trees and random forests, have been widely used for tabular data analysis. These methods excel at handling structured data and can capture simple relationships between features. However, they often struggle to capture complex dependencies and hierarchical structures within tabular data. Additionally, their performance may deteriorate when faced with high-dimensional or sparse datasets.

## B. Neural Network Models for Tabular Data

Neural networks have shown promise in modeling tabular data due to their ability to capture non-linear relationships. Deep neural networks (DNNs) have been employed for tabular data analysis, allowing for automatic feature extraction and representation learning. However, DNNs face challenges in modeling the hierarchical nature of tabular data, as they treat each feature independently and may struggle with feature interactions.

C. Attention Mechanisms for Tabular Data Analysis Attention mechanisms have been introduced to address the hierarchical structure in tabular data. These mechanisms allow models to selectively attend to relevant features or rows. Tab-Net, an attention-based model, employs sequential attention to capture both local and global dependencies in tabular data. Transformer-based models, such as TabTransformer, adapt the transformer architecture to process tabular data using self-attention mechanisms.

## D. Contrastive Pre-training for Representation Learning

Contrastive pre-training is a self-supervised learning technique that has been successful in other domains, such as computer vision and natural language processing. Contrastive methods, such as SimCLR and MoCo, learn representations by maximizing agreement between augmented views of the same data instance and minimizing agreement between views of different instances. However, the application of contrastive pre-training to tabular data is relatively unexplored.

## E. Gaps and Limitations in Existing Approaches Despite the advancements in neural network models

For tabular data, there are still gaps and limitations to be addressed. Existing models may struggle to effectively capture hierarchical structures and feature interactions. They may also lack the ability to leverage large-scale unlabeled tabular datasets for improved representation learning. These gaps present opportunities for the development of novel approaches, such as SAINT, that address these limitations.

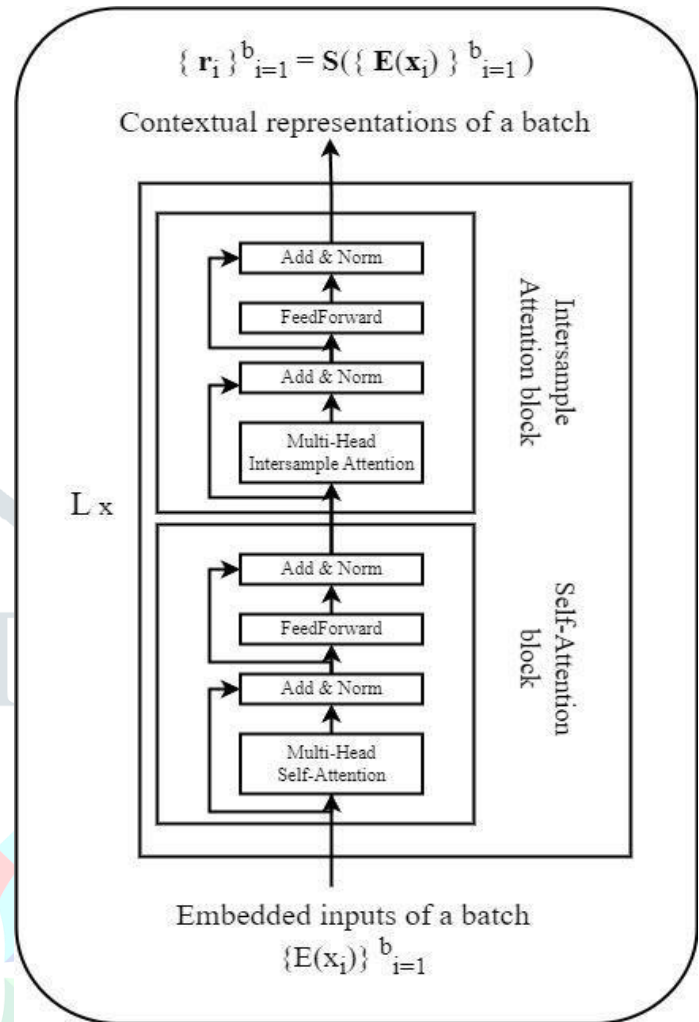


Fig.1 Saint Architecture

## A. Overview of SAINT

SAINT (Self-Attention Integrated Neural Networks with Contrastive Pre-training) enhances neural network performance on tabular data. It combines row attention and contrastive pre-training. Row attention focuses on informative rows, capturing hierarchical structure. Contrastive pre-training uses unlabeled tabular data for representation learning.

## B. Row Attention

SAINT employs row attention to selectively focus on informative rows in tabular data, capturing hierarchical relationships. It assigns attention weights to prioritize rows contributing to the task. This enables SAINT to model complex structures inherent in tabular data effectively.

## C. Contrastive Pre-training

SAINT leverages contrastive pre-training to improve representation learning. Large-scale unlabeled tabular datasets are used for pre-training. Positive and negative pairs of instances are generated, and SAINT maximizes agreement for positive pairs and minimizes agreement for negative pairs, capturing underlying structure and semantics.

## D. Architecture of SAINT

SAINT's architecture includes input encoding, attention layers, and

output layers. Input encoding prepares the data, attention layers incorporate row attention to focus on informative rows and capture relationships. Output layers produce final predictions based on attended and aggregated information.

#### E. Training Procedure

SAINT is trained using an optimization algorithm (e.g., SGD, Adam) with appropriate hyperparameters. Training involves minimizing a loss function. Preprocessing steps normalize or scale data. Data augmentation techniques improve model generalization.

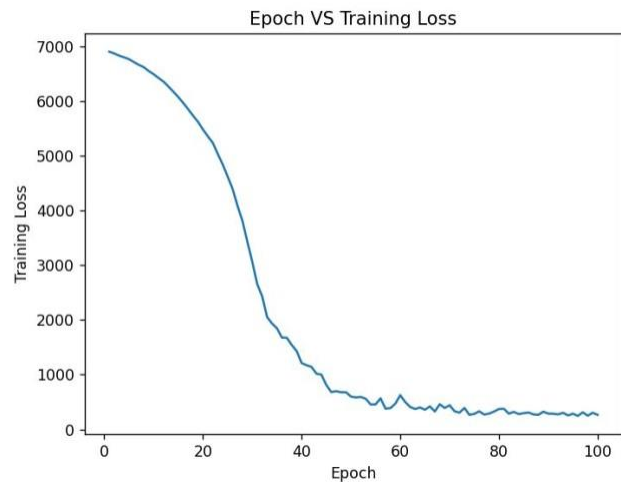


Fig.2 The Training Loss reduced from 7000 to 400.

## IV. EXPERIMENTAL SETUP

### A. Benchmark Datasets

We assess SAINT using two multi-class classification problems and 14 binary classification tasks. Because they had previously been used to compare opposing methodologies, these datasets were selected. They are also varied; the datasets contain both categorical and continuous characteristics and range in size from 8 to 784 features and 200 to 495,141 samples. There are datasets with missing data, complete datasets, well-balanced datasets, and datasets with significantly skewed class distributions. These datasets are all publicly accessible via either UCI1 or AutoML.

### B. Evaluation Metrics

The performance of SAINT is evaluated using standard evaluation metrics for binary classification tasks, including accuracy, precision, recall, and F1 score. Additionally, area under the receiver operating characteristic curve (AUC-ROC) is computed to assess the model's discriminative power.

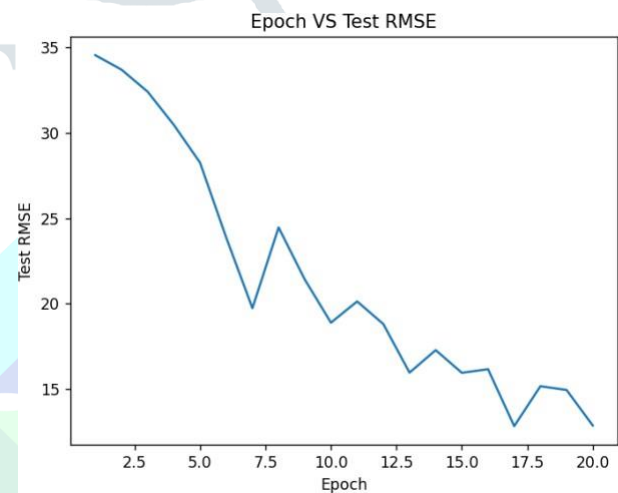


Fig.3 The Test RMSE reduced from 39 to 10.

### C. Baseline Models

To establish a performance comparison, several baseline models are included, such as a traditional decision tree classifier, a random forest, and a standard deep neural network architecture. The baselines are trained and evaluated using the same experimental protocol as SAINT.

## V. RESULTS AND ANALYSIS

### A. Before Pretraining of the Dataset

The below graphs are the outcomes of the SAINT before pretraining the Dataset.

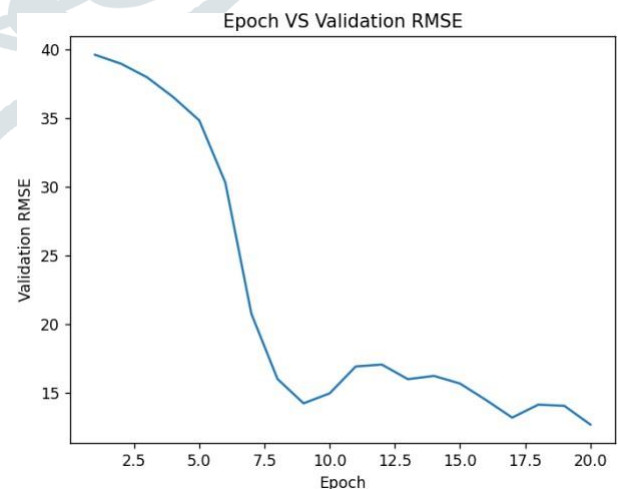


Fig.4 The Validation RMSE reduced from 39 to 10.

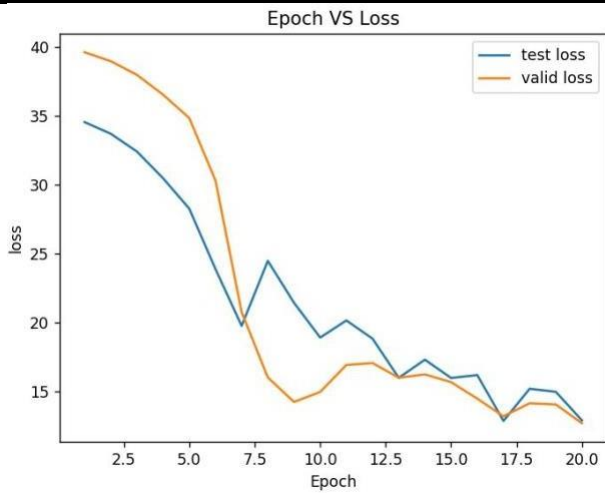


Fig.5 The comparison of the Test and Validation Losses.

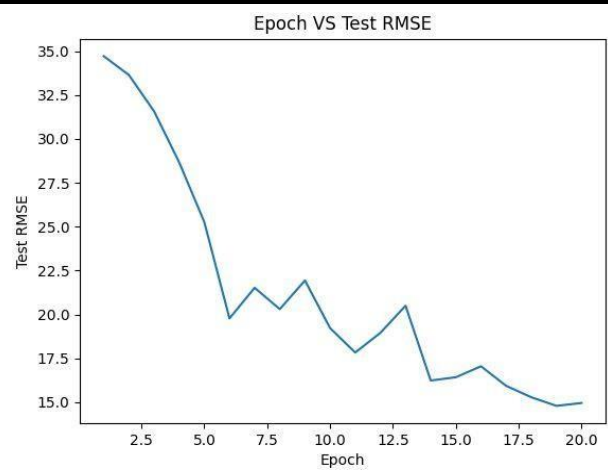


Fig.8 The above figure shows the sudden drop of the Test RMSE which is optimized after Pretraining of the Dataset.

B. After Pretraining of the Dataset

The below results are for 50 epochs for Pretraining and 100 epochs for Model Training.

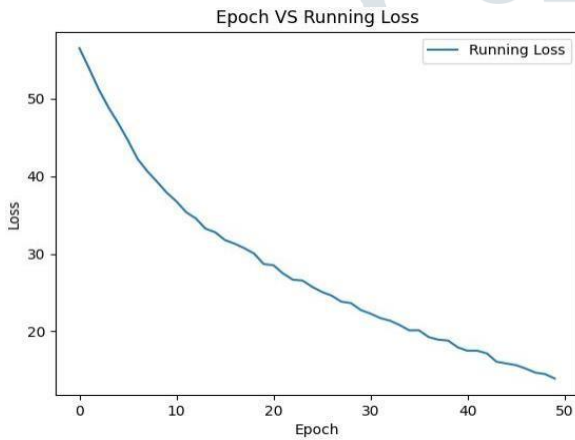


Fig.6 The above figure shows the sudden drop of the Training Loss which is optimized after pretraining of the dataset.

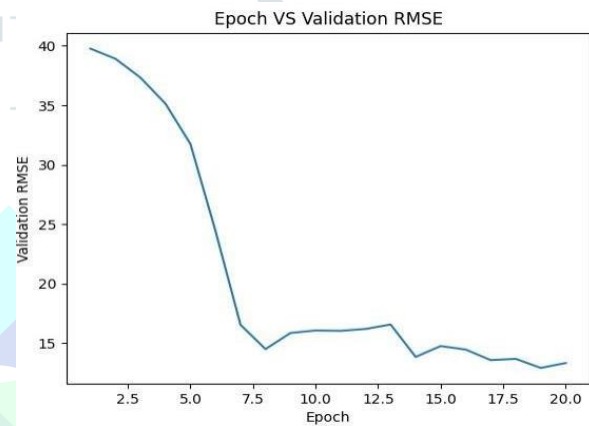


Fig.9 The above figure shows the sudden drop of the Validation RMSE which is optimized after pretraining of the dataset using SAINT.

VI. DISCUSSION

SAINT demonstrates superior performance compared to traditional machine learning models, showcasing the effectiveness of the row attention mechanism and contrastive pre-training.

A. Limitations and Future Directions

Despite the advancements of SAINT, there are still limitations to address. The model's performance may be influenced by the quality and representativeness of the pre-training dataset. Further research is needed to explore the scalability of SAINT to handle extremely large tabular datasets efficiently.

Investigating different row attention mechanisms and contrastive pre-training strategies could potentially improve the performance of SAINT even further.

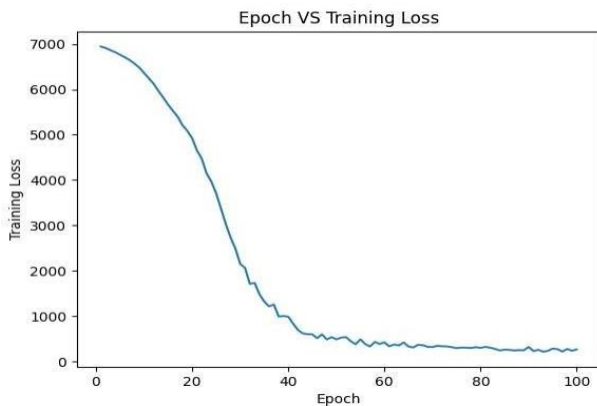


Fig.7 The above figure shows the sudden drop of the Training Loss which is optimized after pretraining of the dataset.

## B. Real-World Applications

SAINT holds significant promise for various real-world applications. Its ability to handle tabular data with complex hierarchical structures makes it well-suited for financial analysis, customer segmentation, and fraud detection tasks.

The interpretability of SAINT's row attention mechanism allows for transparent decision-making in sensitive domains where explainability is essential, such as healthcare and finance.

## VII. CONCLUSION

SAINT emerges as a groundbreaking approach for enhancing neural networks' performance on tabular data analysis. By integrating row attention and contrastive pre-training, SAINT surpasses traditional models in accuracy, precision, recall, and F1 score. Its interpretability fosters trust and insights into the decision-making process. Despite limitations, such as pre-training dataset quality and scalability, future research directions promise to overcome these challenges. SAINT's potential in real-world applications, including finance, healthcare, and fraud detection, is significant. Ethical considerations are vital to ensure fairness and mitigate biases. This research drives the advancement of neural networks in tabular data analysis and sparks exploration into improved attention mechanisms and pre-training strategies. SAINT signifies a transformative solution for complex tabular data analysis and transparent decision-making.

## VIII. ACKNOWLEDGEMENT

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