



# COUNTING AND BINOMIAL COEFFICIENTS IN GRAPH THEORY

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## ABSTRACT

This paper summarizes aspects of language and mathematics that are not directly part of graph theory provide useful background for learning of graph theory in mathematics . this article explains counting and binomials coefficients in graph theory A discussion of counting quickly leads to summations and products. These can be written concisely using appropriate notation. We express summation using  $\sum$  , the uppercase Greek letter "sigma". Vhen  $a$  and  $b$  are integers, the value of  $\sum_a^b f(i)$  is the sum of the numbers  $f(i)$  over the integers  $i$  satisfying  $a \leq i \leq b$ . Here  $i$  is the index of summation, and the formula  $f(i)$  is the summand .We write  $\sum_{j \in S} f(j)$  to sum a real-valued function  $f$  over the elements of a set  $S$  in its domain. When no subset is specified, as in  $\sum_j x_j$ , we sum over the entire domain. When the summand has only one symbol that can vary, we may omit the subscript on the summation symbol, as in  $\sum x_j$ . Similar comments apply to indexed products using  $\prod$  , which is the upper-case Greek letter pi".Two simple rules help organizing the counting of finite sets by breaking problems into subproblems. These rules follow from the definition of size and properties of bijections.

**Key words:** summations , products , arrangement , selections , permutation , composition.

## INTRODUCTION

Definition. The rule of sum states that if  $A$  is a finite set and  $B_1, B_m$  is a partition of  $A$ , then  $|A| = \sum_{i=1}^m |B_i|$ :

Let  $T$  be a set whose elements can be described using a procedure involving steps  $S_1, \dots, S_k$  such that step  $S_j$  can be performed in  $r_j$  ways, regardless of how steps  $S_1, \dots, S_{j-1}$  are performed. The rule of product states that  $\prod_{j=1}^k r_j$

For example, there are  $q^k$  lists of length  $k$  from a set of size  $q$ . There are  $q$  choices for each position, regardless of the choices in other positions. By the product rule, there are  $q^k$  ways to form the  $k$ -tuple.

**Definition.** A permutation of a finite set  $S$  is a bijection from  $S$  to  $S$ . The word form of a permutation  $f$  of  $[n]$  is the list  $f(1), \dots, f(n)$  in that order. An arrangement of elements from a set  $S$  is a list of elements of  $S$  (in order). We write  $n!$  (read as " $n$  factorial") to mean  $\prod_{i=1}^n i$ , with the convention that  $0! = 1$ .

The word form of a permutation of  $[n]$  includes the full description of the permutation. For counting purposes we refer to the word form as the permutation; thus 614325 is a permutation of  $[6]$ . With this viewpoint, a permutation of  $[n]$  is an arrangement of all the elements of  $[n]$ .

**Theorem.** An  $n$ -element set has  $n!$  permutations (arrangements without repetition). In general, the number of arrangements of  $k$  distinct elements from a set of size  $n$  is  $n(n-1)\dots(n-k+1)$ .

**Proof:** We count the lists of  $k$  distinct elements from a set  $S$  of size  $n$ . There is no such list when  $k > n$ , which agrees with the formula. We construct the lists one element at a time, specifying the element in position  $i+1$  after specifying the  $i$  elements in earlier positions.

There are  $n$  ways to choose the image of 1. For each way we do this, there are  $n-1$  ways to choose the image of 2. In general, after we have chosen the first  $i$  images, avoiding them leaves  $n-i$  ways to choose the next image, no matter how we made the first  $i$  choices. The rule of product yields  $\prod_{i=0}^{k-1} (n-i)$  for the number of arrangements.

Often the order of elements in a list is unimportant.

Definition. A selection of  $k$  elements from  $[n]$  is a  $k$ -element subset of  $[n]$ . The number of such selections is  $n$  choose  $k$  written as  $\binom{n}{k}$ .

If  $k < 0$  or  $k > n$ , then  $\binom{n}{k} = 0$ ; in these cases there are no selections of  $k$  elements from  $[n]$ .

When  $0 \leq k \leq n$ , we obtain a simple formula.

Theorem. For integers  $n, k$  with  $0 \leq k \leq n$ ,  $\binom{n}{k} = \frac{1}{k!} \prod_{i=0}^{k-1} (n - i)$ .

Proof: We relate selections to arrangements. We count the arrangements of  $k$  elements from  $[n]$  in two ways. Picking elements for positions as in above discussed Theorem yields  $n(n - 1) \dots (n - k + 1)$  as the number of arrangements.

Alternatively, we can select the  $k$ -element subset first and then write it in some order. Since by definition there are  $\binom{n}{k}$  selections, the product rule yields  $\binom{n}{k} k!$  for the number of arrangements.

In each case, we are counting the set of arrangements, so we conclude that

$n(n - 1) \dots (n - k + 1) = \binom{n}{k} k!$ . Dividing by  $k!$  completes the proof. of Theorem

The numbers  $\binom{n}{k}$  are called the binomial coefficients due to their appearance as coefficients in the  $n$ th power of a sum of two terms.

Theorem. (Binomial Theorem) For  $n \in \mathbb{N}$ ,  $(x + y)^n = \sum_{k=0}^n \binom{n}{k} x^k y^{n-k}$ .

Proof. The proof interprets the process of multiplying out the factors in the product  $(x + y)(x + y) \dots (x + y)$ . To form a term in the product, we must choose  $x$  or  $y$  from each factor. The number of factors that contribute  $x$  is some integer  $k$  in  $\{0, \dots, n\}$ , and the remaining  $n - k$  factors contribute  $y$ . The number of terms of the form  $x^k y^{n-k}$  is the number of ways to choose  $k$  of the factors to contribute  $x$ . Summing over  $k$  accounts for all the terms.

Using the definition of size and the composition of bijections, it follows that finite sets  $A$  and  $B$  have the same size if and only if there is a bijection from  $A$  to  $B$ . Thus we can compute the size of a set by establishing a bijection from it to a set of known size.

Simple examples include the statements that a complete graph has  $\binom{n}{2}$  edges and that therefore there are  $2^{\binom{n}{2}}$  simple graphs with vertex set  $[n]$ . Proposition uses a bijection to count 6-cycles in the Petersen graph. Exercise uses a bijection to count graphs with vertex set  $[n]$  and even vertex degrees.

Lemma. For  $n \in \mathbb{N}$ , the number of subsets of  $[n]$  with even size equals the number of subsets of  $[n]$  with odd size.

.Proof. *Proof 1* (bijection). For each subset with even size, delete the element  $n$  if it appears, and add  $n$  if it does not appear. This always changes the size by 1 and produces a subset with odd size. The map is a bijection, since each odd subset containing  $n$  arises only from one even subset omitting  $n$ , and each odd subset omitting  $n$  arises only from one even subset containing  $n$ .

*Proof 2* (binomial theorem). Setting  $x = -1$  and  $y = 1$  in above Theorem yields  $\sum_{k=0}^n \binom{n}{k} (-1)^k = (-1 + 1)^n = 0$ . (Note that we proved Theorem using bijections.)

We prove a few identities involving binomial coefficients to illustrate combinatorial arguments involving bijections and the idea of counting a set in two ways. We can prove an equality by showing that both sides count the same set.

Lemma.  $\binom{n}{k} = \binom{n}{n-k}$ .

Proof: *Proof 1* (counting two ways). By definition,  $[n]$  has  $\binom{n}{k}$  subsets of size  $k$ . Another way to count selections of  $k$  elements is to count selections of  $n - k$  elements to omit, and there are  $\binom{n}{n-k}$  of these.

*Proof 2* (bijections). The left side counts the  $k$ -element subsets of  $[n]$ , the right side counts the  $n - k$ -element subsets, and the operation of complementation establishes a bijection between the two collections.

Often, “counting two ways” means grouping the elements in two ways.

Sometimes one of the counts only gives a bound on the size of the set. In this case the counting argument proves an inequality; here we stick to equalities.

Lemma. (The Chairperson Identity)  $k \binom{n}{k} = n \binom{n-1}{k-1}$ .

Proof: Each side counts the  $k$ -person committees with a designated chairperson that can be formed from a set of  $n$  people. On the left, we select the committee and then select the chair from it; on the right, we select the chair first and then fill out the rest of the committee.

Many students see the next formula as the first application of induction, but it also is easily proved by counting a set in two ways.

Lemma.  $\sum_{i=1}^n i = \frac{n(n+1)}{2}$ .

Proof: The right side is  $\binom{n+1}{2}$ ; we can view this as counting the nontrivial intervals with endpoints in the set  $\{1, \dots, n+1\}$ . On the other hand, we can group the intervals by length; there is one interval with length  $n$ , two with length  $n-1$ , and so on up to  $n$  intervals with length 1.

Lemma generalizes to  $\sum_{i=k}^n \binom{j}{i} = \binom{n+1}{k+1}$ . To prove this by counting in two ways, partition the set of  $k+1$ -element subsets of  $[n+1]$  into groups so that the size of the  $i$ th. group will be  $\binom{i}{k}$ . Finally, a recursive computation for the binomial coefficients.

Lemma. (Pascal's Formula) If  $n \geq 1$ , then  $\binom{n}{k} = \binom{n-1}{k} + \binom{n-1}{k-1}$ .

Proof: We count the  $k$ -sets in  $[n]$ . There are  $\binom{n-1}{k}$  such sets not containing  $n$  and  $\binom{n-1}{k-1}$  such sets containing  $n$ .

Given the initial conditions for  $n = 0$ , which are  $\binom{0}{0} = 1$  and  $\binom{0}{k} = 0$  for

$k \neq 0$ , Pascal's Formula can be used to give inductive proofs of many statements about binomial coefficients, including above Theorems.

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